

09/869277

Attorney Docket No.: 07553.0023

Customer Number: 22.852

JUN 26 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
)  
Kiichi HAMA et al. )  
)  
Serial No.: Not yet assigned ) Group Art Unit:  
)  
Filed: June 26, 2001 ) Examiner:

For: PLASMA PROCESSING METHOD

U.S. NATIONAL PHASE APPLICATION BASED ON PCT/JP99/07176

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)**

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), applicants bring to the Examiner's attention the documents listed on attached Form PTO-1449. Copies of the listed documents are attached. Applicants respectfully request that the Examiner consider the documents listed on attached Form PTO-1449 and indicate that they were considered by making an appropriate notation on this form.

This Information Disclosure Statement is being filed with the above-referenced application.

The following are listed on the accompanying PTO-1449 and are in a non-English language:

1. Japanese Publication No. 09-181172;
2. Japanese Publication No. 05-267231;
3. Japanese Publication No. 09-129611;
4. Japanese Publication No. 07-263409;
5. Japanese Publication No. 02-280323;

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6. Japanese Publication No. 08-130211;
7. Japanese Publication No. 11-243082;
8. Japanese Publication No. 08-130211; and
9. Japanese Publication No. 09-129611.

In lieu of a statement of relevance or translation of the listed non-English language documents, an English-language abstract of the documents setting forth the relevance is enclosed.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and applicants determine that the cited documents do not constitute "prior art" under United States law, applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,  
FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

By:



David W. Hill  
Reg. No. 28,220

Dated: June 26, 2001  
Enclosures  
DWH/FPD/peg

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OMB No. 0651-0011

**INFORMATION DISCLOSURE CITATION** 2 6 JUN 2001  
(Use several sheets if necessary)

Atty. Docket No. 07553.0023				Serial No. Not Yet Assigned			
Applicant Kiichi HAMA et al.							
Filing Date June 26, 2001				Group			
<b>U.S. PATENT DOCUMENTS</b>							
<b>Examiner Initial*</b>		<b>Document Number</b>	<b>Date</b>	<b>Name</b>	<b>Class</b>	<b>Sub Class</b>	<b>Filing Date If Appropriate</b>
		5,919,332	7/6/99	Koshiishi et al.	156	345	
<b>FOREIGN PATENT DOCUMENTS</b>							
		<b>Document Number</b>	<b>Date</b>	<b>Country</b>	<b>Class</b>	<b>Sub Class</b>	<b>Translation Yes or No</b>
		09-181172	7/11/97	Japan			Abstract ✓
		05-267231	10/15/93	Japan			Abstract ✓
		09-129611	5/16/97	Japan			Abstract ✓
		07-263409	10/13/95	Japan			Abstract ✓
		02-280323	11/16/90	Japan			Abstract ✓
		08-130211	5/21/96	Japan			Abstract ✓
		11-243082	9/7/99	Japan			Abstract ✓
		08-130211	5/21/96	Japan			Abstract ✓
		09-129611	5/16/97	Japan			Abstract ✓
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
Examiner				Date Considered			
*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							
Form PTO 1449				Patent and Trademark Office - U.S. Department of Commerce			